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THEME

# Power Bounded Operators and Semigroups

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# Dedicate

I dedicate this work to the one who has always and support me

"My Mather Halima "

To the person to my heart,may God protect you

"My Father Mohammed "

To all my family and to all the professor who have contribute we provide us with knowledge

To all my brothers

"Chaima,Abdelmoudjib,Imane,Nour Elhouda,Yacine"

To all the students of the year and my girlfriends

" Roumaissa,Safa,Rayane ,Farida, Amira"

# Notations

- $X$  : a Banach space .
- $\mathcal{B}(X)$  : the Banach algebra of bounded linear operators in  $X$ .
- $\mathcal{B}(X, Y)$  : the space of linear and continuous operators from  $X$  to  $Y$ .
- $A$ : operateur.
- $D(A)$  : the definition set of  $A$ .
- $\overline{D(A)}$ : overall adhesion .
- $Im(A)$ : the image of  $A$ .
- $\ker(A)$  : the kernel of  $A$ .
- $\rho(A)$  : the resolvent set of  $A \in \mathcal{B}(X)$  .
- $\sigma(A)$  : the spectrum of  $A \in \mathcal{B}(X)$ .
- $I$ : the unit of  $\mathcal{B}(X)$ .
- $r(T(t))$ : the spectral radius  $T(t)$ .
- $SG(M, \omega)$  : the set of  $C_0$ -semigroup  $T(t)_{t \geq 0} \subset \mathcal{B}(X)$ .

- 
- $\Gamma$  : unit circle in  $\mathbb{C}$ .
  - $\Gamma_\delta$  : the circle of radius  $\delta$ .
  - $\Delta$  : the Laplace operator.
  - $Re\lambda$  : the real part of the complex number  $\lambda$ .
  - $R(\lambda; A)$ : the operator  $(\lambda I - A)^{-1}$ .
  - $X_1 \oplus X_2$ : the direct sum of the spaces  $X_1, X_2$ .
  - $(T(t))_{t>0}$  : one-parameter semigroup of linear operators.
  - $\hat{f}$ : fourier transform of  $f$ .

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# Introduction

In this dissertation we are interested in the  $C_0$ -semigroup of  $(T(t))_{t \geq 0}$  bounded operator in Banach space generated by non bounded operator  $A$  on its domain  $D_A$  in [6], when  $\|T(t) - T(s)\|$  near the origin and we exposed the generalisations of Esterle's result by Nigel.K, Stephen.M, Krzysztof.O and Yuri.T in [12]. Of everything that is Esterle observed in [7] that if a Banach algebra  $E$  does not possess any nonzero idempotent then  $\inf_{x \in A, \|x\| \geq 1/2} \|X^2 - X\| \geq 1/4$ . These results led the author to consider in [6] the behavior of the distance  $\|T(s) - T(t)\|$  for  $s > t$  near 0. The following results were obtained in [6] :

(1) If the semigroup is norm continuous, and if there exists two sequences of positive real numbers such that  $0 < T_n < S_n, \lim_{n \rightarrow +\infty} S_n = 0$ , such that  $\|T(t_n) - T(s_n)\| < (s_n - t_n) \frac{s_n}{t_n} \frac{s_n - t_n}{s_n - t_n}$ ; then the closed subalgebra  $A_T$  of  $\mathcal{B}(X)$  generated by the semigroup possesses an exhaustive sequence of idempotents.

(2) In [6] Esterle show that the operator on a complex Banach space satisfies the Ritt resolvent condition if and only if  $T$  is power bounded and  $\sup_n n \|T^{n+1} - T^n\| < \infty$ . it proved by Nagy and Zemánek [16] and independently Lyubich [13].

In ([6], 2004) the authors create a general framework which shows how to easily create results in the same vein as Esterle's result. For example, one can give conditions concerning  $\|T^n - T^m\|$  that imply that an operator with  $\sigma(T) = \{1\}$  is the identity.

Our work was carried out according to the following plan: the first chapter is a presentation of the general theory which will be used in this work .

In the second chapter we study some theorems of power bounded operators and related norm estimates.

In the third chapter we study power bounded operators and semigroup (where we present application  $C_0$ -semigroups of contractions).

Then we finish this work by the conclusion and the bibliography.

# Chapter 1

## General

### 1.1 Banach Algebras

**Definition 1.1.1.** Let  $A$  be a vector space, endowed with a third law called multiplication.  $A$  is an algebra if the following conditions hold:

- (1)  $x(yz) = (xy)z \quad \forall x, y, z \in A.$
- (2)  $(x + y)z = xz + yz, x(y + z) = xy + xz \quad \forall x, y, z \in A.$
- (3)  $\lambda(xy) = (\lambda x)y = x(\lambda y) \quad \forall \lambda \in \mathbb{R} \text{ or } \mathbb{C} \quad \forall x, y \in A.$

If  $xy = yx$  for all  $x, y \in A$  then the algebra  $A$  is commutative .

If there exists  $e \in A$  such that  $xe = ex$  for all  $x \in A$  then the algebra  $A$  is unitary.

**Definition 1.1.2.** We call commutative Banach algebra  $A$  any commutative algebra over  $\mathbb{R}$  or  $\mathbb{C}$ , endowed with a norm satisfying the inequality :

$$\|xy\| \leq \|x\|\|y\| \quad \forall x, y \in A$$

and complete.

If  $A$  admits a unit  $e$  we will always oppose  $\|e\| = 1$ .

#### 1.1.1 Quasinilpotents and nilpotents

**Definition 1.1.3.** Let  $A$  be a unital algebra.

An element  $x$  of  $A$  is said to be quasinilpotent if  $r(x) = 0$  i.e.  $\sigma(x) = \{0\}$ .

An element  $x$  of  $A$  is said to be nilpotent if  $x^n = 0$  for some  $n \in \mathbb{N}$ .

We denote by  $\mathfrak{S}(A)$  the set of quasinilpotent elements of  $A$ .

**Definition 1.1.4.** A bounded linear operator  $A$  is called quasinilpotent if  $\rho(A) = 0$ .

**Proposition 1.1.1.** Let  $A$  be a unit algebra and  $x \in A$ . Then if  $x$  is nilpotent then  $x \in \mathfrak{S}(A)$ .

#### 1.1.2 Characters

**Definition 1.1.5.** Let  $A$  be a commutative Banach algebra. We call character of  $A$  any complex homomorphism from  $A$  in to  $\mathbb{C}$ .



**Theorem 1.1.1.** *Let  $A$  be a commutative Banach algebra. Then*

- (1) *If  $\psi$  is a complex homomorphism on  $A$ , then  $\ker \psi$  is a maximal ideal.*
- (2) *If  $I \subset A$  is a maximal ideal of  $A$ , then*

$$A = \{x + \lambda e_A, x \in I, \lambda \in \mathbb{C}\}$$

and the map

$$\begin{aligned} \psi : A &\longrightarrow \mathbb{C} \\ x + \lambda e_A &\longrightarrow \psi(x + \lambda e_A) = \lambda \end{aligned}$$

is a complex homomorphism,  $\ker \psi = I$ .

**Theorem 1.1.2.** *Let  $x$  be an element of a commutative Banach algebra  $A$ . Then*

- (1) *If  $\psi \in \hat{A}$ , then  $\psi(x) \in \sigma(x)$ .*
- (2) *If  $\lambda \in \sigma(x)$ , then there exists  $\psi \in \hat{A}$  such that  $\psi(x) = \lambda$ .*
- (3) *An element  $x \in A$  is invertible if and only if  $\psi(x) \neq 0$  for all  $\psi \in \hat{A}$ .*

**Theorem 1.1.3.** *Let  $A$  be a commutative Banach algebra, and  $\psi$  a complex homomorphism. Then  $\psi$  is continuous and  $\|\psi\| = 1$ .*

## 1.2 Linear Operators

**Definition 1.2.1.** Let  $X$  and  $Y$  be two vector spaces over the same field  $\mathbb{K}$ . We say that the map or the operator  $A : X \longrightarrow Y$  is linear if  $\forall x, y \in X, \forall \lambda \in \mathbb{K}$ :

$$\begin{aligned} A(x + y) &= Ax + Ay \\ A(\lambda x) &= \lambda Ax. \end{aligned}$$

**Definition 1.2.2.** Let  $A : X \longrightarrow Y$  be a linear operator. We define the image of operator  $A$  by

$$Im(A) = \{Ax, x \in X\}$$

and the kernel of operator  $A$  by

$$\ker(A) = \{x \in X : Ax = 0\}$$

### 1.2.1 Bounded Linear Operator

Let  $X$  and  $Y$  be two normed vector spaces and  $A : X \longrightarrow Y$  a linear operator

**Theorem 1.2.1.** *The following properties are equivalent :*

- (1)  *$A$  is continuous ,*
- (2)  *$A$  is continuous at 0 ,*
- (3) *there is a constant  $c$  such that  $\|Ax\| \leq c\|x\|$  for all  $x \in X$ .*

**Definition 1.2.3.** A bounded linear operator  $P$  is called a projection operator if  $P^2 = P$ .

**Definition 1.2.4.** A linear map  $A : X \longrightarrow Y$  between normed vector spaces which is continuous is often said to be bounded.

**example 1.2.1.** Let  $Y$  be a closed subspace of a Hilbert space  $H$ . The projection operator  $P_Y$  is continuous of norm 1 because  $P_Y(x) = x$  for all  $x \in Y$  and  $\|P_Y(x)\| \leq \|x\|$  for all  $x \in H$  with equality if  $x \in Y$ .

**Theorem 1.2.2.** Let  $X$  be a normed vector space and  $Y$  a Banach space. Then, the normed vector space  $\mathcal{B}(X, Y)$  is a Banach space.

### 1.2.2 Inverse Operator

**Definition 1.2.5.** Let  $A \in \mathcal{B}(X, Y)$  where  $X$  and  $Y$  are two normed vector spaces. We say that  $A$  is invertible if there exists  $B \in \mathcal{B}(X, Y)$  such that  $AB = I_{d_Y}$  and  $BA = I_{d_X}$ . Such an operator is unique. We call it the inverse operator of  $A$  and denote it  $B = A^{-1}$ .

**Theorem 1.2.3.** If  $A \in \mathcal{B}(X, Y)$  ( $Y$  Banach space) is bijective then its inverse  $A^{-1}$  is continuous.

**Corollary 1.2.1.** Let  $Y$  be a Banach space and  $A \in \mathcal{B}(X, Y)$ . Then the following properties are equivalent

- (1) There exists  $c > 0$  such that for  $x \in X : \|Ax\| \geq c\|x\|$
- (2)  $A$  is injective and  $Im(A)$  is closed in  $Y$ .

**Corollary 1.2.2.** Let  $Y$  be a Banach space and  $A \in \mathcal{B}(X, Y)$ . Then, the following properties are equivalent :

- (1)  $\overline{Im(A)} = Y$  and there exists  $c > 0$  such that for all  $x \in Y : \|Ax\| \geq c\|x\|$ .
- (2)  $(A)$  is invertible .

**Theorem 1.2.4.** (i) Let  $A \in \mathcal{B}(X)$  be such that  $\|A\| < 1$ , then  $I_{d_X} - A$  is invertible and

$$(I_{d_X} - A)^{-1} = \sum_{n=0}^{+\infty} A^n.$$

(ii) If  $A$  is invertible then  $A+B$  is invertible for any  $B \in \mathcal{B}(X)$  such that  $\|B\| < \|A\|^{-1}$  and we have

$$\begin{aligned} (A+B)^{-1} &= \sum_{n=0}^{+\infty} (A^{-1}B)^n A^{-1} \\ &= \sum_{n=0}^{+\infty} A^{-1-n} B^n \quad \text{if } A, B \text{ commute.} \end{aligned}$$

### 1.2.3 Spectrum of an Operator

**Definition 1.2.6.** To any linear operator  $A$  we associate its spectral bound defined by

$$s(A) := \sup\{Re\lambda : \lambda \in \sigma(A)\}.$$

**Definition 1.2.7.** Let  $A \in \mathcal{B}(X)$

- (1) We call spectrum of  $A$ , the set

$$\sigma(A) = \{\lambda \in \mathbb{K} : (\lambda I_{d_X} - A) \text{ not invertible}\}.$$

Any scalar  $\lambda \in \sigma(A)$  is said to be spectral value.

The spectral radius of  $A$  noted  $r(A)$  is defined by  $r(A) = \sup\{|\lambda|, \lambda \in \sigma(A)\}$  and we always have  $r(A) \leq \|A\|$ . If  $\sigma(A) = \emptyset$ , then by convention we put  $r(A) = 0$ .

(2) We call continuous spectrum of  $A$ , the set

$$\sigma_c A = \{\lambda \in \mathbb{K} : (\lambda I_{d_X} - A) \text{ injective, } Im(\lambda I_{d_X} - A) \text{ dense but distinct from } X\}.$$

(3) We call residual spectrum of  $A$ , the set

$$\sigma_r(A) = \{\lambda \in \mathbb{K} : (\lambda I_{d_X} - A) \text{ injective, } Im(\lambda I_{d_X} - A) \text{ not dense in } X\}.$$

(4) We call the resolver set of  $A$ , the set

$$\rho(A) = \{\lambda \in \mathbb{K} : (\lambda I_{d_X} - A) \text{ invertible}\}.$$

Any scalar  $\lambda \in \rho(A)$  is said to be a resolvent value. We have  $\sigma(A) = \mathbb{K} \setminus \rho(A)$ .

If  $\lambda \in \rho(A)$ , we denote  $R_\lambda(A) = (\lambda I_{d_X} - A)^{-1} \in \mathcal{B}(X)$  the resolvent of  $A$ .

**example 1.2.2.** Let  $X = C([0, 1], \mathbb{K})$ . If we consider the Volterra operator then we have  $\ker(A) = \{0\}$  and  $Im(A) = \{g \in X : g(0) = 0\}$ .

**Theorem 1.2.5.** Let  $A \in \mathcal{B}(X)$

1. If  $|\lambda| > \|A\|$  then  $\lambda \in \rho(A)$  and  $\sigma(A) \subset \bar{B}(0, \|A\|)$ .

2.  $\rho(A)$  is a nonempty open set of  $\mathbb{K}$ .

3.  $\sigma(A)$  is a nonempty compact of  $\mathbb{K}$ .

4. If  $A$  is invertible, then  $\sigma(A^{-1}) = \{\frac{1}{\lambda}, \lambda \in \sigma(A)\}$ .

5. We have  $\sigma(A) \subset \bar{B}(0, r(A))$ . Moreover, we

$$r(A) = \lim_{n \rightarrow +\infty} \|A^n\|^{\frac{1}{n}}.$$

## 1.2.4 Adjoint Operator

**Definition 1.2.8.** Let  $A : D(A) \subset X \rightarrow Y$  be an operator whose domain  $D(A)$  is dense in  $X$ . We call the adjoint of the operator  $A$ , the operator  $A^* : D(A^*) \subset Y \rightarrow X$  defined by :

$$D(A^*) = \{v \in Y \text{ such that } \exists \omega \in X; (v, Au)_Y = (\omega, u)_X \forall u \in D(A)\}.$$

The uniqueness of  $\omega$  follows from the density of  $D(A)$  in  $X$ . It is clear that  $D(A^*)$  is a vector subspace of  $Y$  and that  $A^*$  is a linear operator. By definition, we always have

$$(v, Au)_X = (A^*v, u)_Y \quad \forall u \in D(A), \forall v \in D(A^*).$$

**Proposition 1.2.1.** If  $A$  is bounded, then  $A^*$  is also bounded and  $\|A\| = \|A^*\|$ . Moreover, we have  $A^*$  is closed.

**Proposition 1.2.2.** Let  $A \in \mathcal{B}(H)$ . Then, we have

$$1. \ker A^* = (Im A)^\perp$$

$$2. (\ker A^*)^\perp = \overline{Im A}$$

3. If moreover the operator  $A$  is closed:  $\ker A = (Im A^*)^\perp$  and  $\ker A^\perp = \overline{Im A^*}$ .

**Proposition 1.2.3.** *Let  $A \in \mathcal{B}(H)$ . Then, we have*

$$1. \rho(A^*) = \{\lambda \in \mathbb{K} : \bar{\lambda} \in \rho(A)\}, \sigma(A^*) = \{\lambda \in \mathbb{K} : \bar{\lambda} \in \sigma(A)\}$$

$$2. \lambda \in \rho(A^*), R_\lambda(A^*) = (R_{\bar{\lambda}}(A))^*.$$

**example 1.2.3.** Let  $A$  be the unbounded operator of  $L^2(0, 1)$  defined by :

$$D(A) = \{u \in H^2(0,1); u(0) = u'(0) = 0\}$$

$$\forall u \in D(A) \quad Au = -\frac{d^2u}{dx^2} + u$$

we can check that its adjoint  $A^*$  is defined by :

$$D(A^*) = \{u \in H^2(0,1); u(1) = u'(1) = 0\}$$

$$\forall u \in D(A^*) \quad A^*u = -\frac{d^2u}{dx^2} + u$$

and  $A^{**} = A$ .

### 1.2.5 Autoadjoints Operator

We place ourselves in the framework of a Hilbert space .

**Definition 1.2.9.** Let  $A \in \mathcal{B}(H)$  .We say that  $A$  is a autoadjoint operator if  $A = A^*$ .

We say that also symmetric if  $\mathbb{K} = \mathbb{R}$  and hermitian if  $\mathbb{K} = \mathbb{C}$ .

**example 1.2.4.** Orthogonal projection onto closed subspaces of  $H$  are autoadjoint.Indeed, let  $Y$  be a closed subspace of a Hilbert space  $H$ .Let  $P_Y$  be the orthogonal projection of  $H$  onto  $Y$ .Then all  $x, y \in H$

$$\begin{aligned} \langle x, P_Y(y) \rangle &= \langle P_Y(x), P_Y(y) \rangle \\ &= \langle P_Y(x), y \rangle . \end{aligned}$$

**Corollary 1.2.3.** If  $A \in \mathcal{B}(H)$  is a autoadjoint operator, then  $\|A\| = \max_{\lambda \in \sigma(A)} |\lambda|$  .

**Corollary 1.2.4.** Let  $A$  be a autoadjoint operator on  $H$  .If  $\sigma(A) \setminus \{0\}$ , then  $A = 0$ .

## 1.3 Semigroups in a Banach Algebra

**Definition 1.3.1.** Let  $A$  be a Banach algebra. A semigroups of  $A$  is a family  $(T(t))_{t>0}$  of elements of  $A$  satisfying powt any pair  $s, t$  of strictly positive real numbers ta condition

$$T(t + s) = T(t)T(s).$$

We will denote by  $A_T$  the closed subalgebra of  $A$  generated by the semigroup  $(T(t))_{t>0}$  . we will say that a semigroup  $(T(t))_{t>0}$  is continuous in norm if

$$\lim_{h \rightarrow 0} \| T(t + h) - T(t) \| = 0 \text{ for all } t > 0,$$

and we will say that  $(T(t))_{t \rightarrow 0}$  admits a limit in norm at the origin if there is  $J \in A$  such that

$$\lim_{t \rightarrow 0^+} \|T(t) - J\| = 0.$$

Note that if the semigroup  $(T(t))_{t > 0}$  admits a limit in norm  $J$  at the origin then  $J$  is an idempotent of  $A$ , and the Banach algebra  $A_T$  is unitary of unit  $J$ . plus in this case we know that there exists  $u \in A_T$  such that we have, for  $t > 0$ ,

$$T(t) = \exp(tu) := J + \sum_{n=1}^{+\infty} \frac{t^n u^n}{n!}.$$

**Definition 1.3.2.** Let  $(T(t))_{t > 0}$  be a semigroup of bounded operators on a Banach space  $X$

(i) we say that  $(T(t))_{t > 0}$  is of dense image if  $\cup_{t > 0} T(t)(X)$  is dense in  $X$ .

(ii) we say that  $(T(t))_{t > 0}$  is strongly continuous if  $\lim_{h \rightarrow 0} \|T(t+h)x - T(t)x\| = 0$  for all  $x \in X$  and for all  $t > 0$ .

(iii) we say that  $(T(t))_{t > 0}$  is strongly continuous at the origin if  $\lim_{t \rightarrow 0^+} \|T(t)x - x\| = 0$  for all  $x \in X$ .

Note that if we set

$$F = \cup_{t > 0} T(t)(X),$$

so

$$\cup_{t > 0} T(t)(F) = \cup_{t > 0, s > 0} T(t+s)(X) = \cup_{t > 0} T(t)(X) = F.$$

So if we denote by  $\tilde{T}(t)$  the restriction of  $T(t)$  to  $\bar{F}$ , the semi group  $(\tilde{T}(t))_{t > 0}$  is a semigroup of bounded operators on  $\bar{F}$  which has dense image. On the other hand it is well known that if  $(T(t))_{t > 0}$  is strongly continuous at the origin then it is strongly continuous; we can then set  $T(0) = I$ ,  $I$  denoting the identity map  $x \rightarrow x$  on  $X$ , and in this case the map  $t \rightarrow T(t)x$  is a continuous map from  $[0, +\infty[$  to  $E$  for all  $x \in X$ .

It follows immediately from the Banach-Steinhaus theorem that if  $(T(t))_{t > 0}$  is strongly continuous at the origin, then  $\limsup_{t \rightarrow 0^+} \|T(t)\| < +\infty$ .

Conversely if  $\limsup_{t \rightarrow 0^+} \|T(t)\| < +\infty$ , and if  $(T(t))_{t > 0}$  is of dense image, a routine check shows that  $(T(t))_{t > 0}$  is strongly continuous at the origin.

**Theorem 1.3.1.** Let  $A$  be a Banach algebra over the field  $\mathbb{R}$  or  $\mathbb{C}$  and let  $f$  be a function defined on  $]0, +\infty[$  with values in  $A$  verifying  $A$

(i) for  $0 < t_1, t_2 < +\infty$

$$f(t_1 + t_2) = f(t_1)f(t_2)$$

(ii)  $\lim_{t \rightarrow 0^+} f(t) = J$  exists. Then there exists an element  $a \in A$  such that  $a = Ja = aJ$  and

$$f(t) = J + \sum_{n=1}^{+\infty} \frac{t^n}{n!} a^n.$$

The series is absolutely convergent for any  $t \in \mathbb{R}$  or  $\mathbb{C}$ , and satisfies (i).

### 1.3.1 Existence of an Analytic Semigroup in an Algebra

**Theorem 1.3.2 (The Sinclair Theorem).** *Let  $A$  be a commutative Banach algebra with bounded approximate unit  $(e_n)_{n \geq 0}$  with bound  $M$ . For all  $x \in G$ , there exists an analytic semigroup  $(b^t)_{\text{Re } t > 0}$  in  $A$  such that*

- (i)  $\{\|b^t\|\}$  is bounded for  $|t| \leq 1$  and  $\text{Re } t > 0$ .
- (ii)  $x \in b^t G$  for all  $t \in \mathbb{C}$  with  $\text{Re } t > 0$ .
- (iii)  $b^t x \rightarrow x$ , when  $t \rightarrow 0$  and  $\text{Re } t > 0$ .

*Proof.* See [15]. □

## 1.4 Semigroup of bounded linear operators

### 1.4.1 Definitions and Theorems

**Definition 1.4.1.** Let  $X$  be a Banach space the field  $C$  and let  $\mathcal{B}(X)$  be the Banach algebra of bounded linear operators on  $X$ . The family  $\{T(t)\}_{t \geq 0} \subset \mathcal{B}(X)$  is called semigroup if :

- (i)  $T(0) = I$  ( $I$  the unit element of algebre  $\mathcal{B}(X)$ )
- (ii)  $T(s+t) = T(s)T(t)$ ,  $\forall s, t \in \mathbb{R}_+$ .

**Definition 1.4.2.** The semigroup  $T$  is called strongly continuous semigroup and denoted  $C_0$ -semigroup if the map  $t \rightarrow T(t)$  is countinuous for the strong topology of operators on  $\mathcal{B}(X)$  i.e.  $\lim_{t \rightarrow t_0} \|T(t)f - T(t_0)f\| = 0$  for all  $f \in X$  and for all  $t \in \mathbb{R}_+$  such that  $t \rightarrow t_0$ .

**Definition 1.4.3.** We call uniformly continuous semigroup  $(T(t))_{t \geq 0} \subset \mathcal{B}(X)$  satisfying the following property :

$$\lim_{t \rightarrow 0^+} \|T(t) - I\| = 0.$$

**Definition 1.4.4 (Generator).** The linear operator  $A$  defined by :

$$D(A) = \{x \in A : \lim_{t \rightarrow t_0} \frac{T(t)x - x}{t} \text{ exists}\}$$

and

$$Ax = \lim_{t \rightarrow 0^+} \frac{T(t)x - x}{t} = \left. \frac{dT(t)x}{dt} \right|_{t=0} \text{ pour } x \in D(A),$$

is the infinitesimal generator of the semigroup  $T(t)$ ,  $D(A)$  is the domain of  $A$ .

**Definition 1.4.5.** We say that the  $C_0$ -semigroup  $(T(t))_{t \geq 0}$  is uniformly bounded if there exists  $M \geq 1$  such that

$$\|T(t)\| \leq M, \quad \forall t \geq 0$$

**Definition 1.4.6.** Let us denote by  $\Delta$  the set

$$\{z \in \mathbb{C}; \text{Re } z > 0 \text{ and } \varphi_1 < \varphi_2, \varphi_1 < 0 < \varphi_2\}.$$

We call analytic  $C_0$ -semigroup a family  $(T(z))_{z \in \Delta} \subset \mathcal{B}(X)$  verifying the following properties:

- (1)  $T(0) = I$
- (2)  $T(z_1 + z_2) = T(z_1)T(z_2), \forall z_1, z_2 \in \Delta$
- (3)  $\lim_{z \rightarrow 0} T(z)x = x, \forall x \in X$
- (4) The application

$$z \in \Delta \longrightarrow T(z) \in \mathcal{B}(X).$$

is analytical in the sector  $\Delta$ .

**Theorem 1.4.1.** *Let  $(T(t))_{t \geq 0} \in SG(M, \omega)$  and  $A$  be its infinitesimal generator. So*

- (i)  $\overline{D(A)} = X$ .
- (ii)  $A$  is a closed operator .

**Theorem 1.4.2** ((Uniqueness of begetting)). *That is two  $C_0$ -semigroups  $(T(t))_{t \geq 0}$  and  $(S(t))_{t \geq 0}$  having for infinitesimal generator he same operator  $A$ . So*

$$T(t) = S(t) \quad \forall t \geq 0.$$

## 1.4.2 The Spectral mapping Theorem

**lemma 1.4.2.1.** *Let  $A \in \mathcal{B}(X)$ , then  $(e^{tA})_{t \geq 0}$  is a uniformly continous semigroup of elements of  $\mathcal{B}(X)$  whose infinitesimal generator is  $A$ .*

**lemma 1.4.2.2.** *Let  $A$  be a bounded operator  $A \in \mathcal{B}(X)$ , there exists a unique uniformly  $(T(t))_{t \geq 0}$  such that*

$$T(t) = e^{At}, \quad \forall t \geq 0.$$

**Theorem 1.4.3 (Riez-Dunford).** *Let  $A$  infinitesimal generator of a uniformly continuous semigroup  $(T(t))_{t \geq 0}$  if  $\Gamma_A$  is an  $A$ -spectral Jordan contour, then we have*

$$T(t) = \frac{1}{2\pi i} \int_{\Gamma_A} e^{\lambda t} R(\lambda; A) d\lambda \quad \forall t \geq 0.$$

**Proposition 1.4.1.** *Let  $\omega_0$  be the type of a strongly continuous semigroup  $(T(t))_{t \geq 0}$ . Then the spectral radius of*

$$r(T(t)) = \sup\{|\lambda|, \lambda \in \sigma(T(t))\}, t \geq 0$$

check

$$r(T(t)) = e^{\omega_0 t}.$$

**Theorem 1.4.4.** *Let  $(T(t))_{t \geq 0} \in SG(M, \omega)$  and  $A$  be its infinitesimal generator. Then*

$$e^{t\sigma(A)} = \{e^{\lambda t}; \lambda \in \sigma(A)\} \subseteq \sigma(T(t)), \quad \forall t \geq 0.$$

### 1.4.3 The Hille-Yosida Theorem

**Definition 1.4.7.** We say that  $(T(t))_{t \geq 0}$  is a  $C_0$ -semigroup contraction on the Banach space  $X$  if  $(T(t))_{t \geq 0} \in SG(1, 0)$  i.e.

$$\|T(t)\| \leq 1, \text{ for all } t \geq 0.$$

**Theorem 1.4.5 (Hille-Yosida).** *A linear operator*

$$A : D(A) \subset X \longrightarrow X$$

*is the infinitesimal generator of a semigroup  $(T(t))_{t \geq 0} \in SG(M, \omega)$  if and only if*

*(1)  $A$  is a closed operator and  $\overline{D(A)} = X$ .*

*(2) There exist  $\omega > 0$  and  $M \geq 1$  such that  $\Lambda_{\omega, \varrho}(A)$  and for  $\lambda \in \Lambda_{\omega}$  we have*

$$\|R(\lambda; A)^n\| \leq \frac{M}{(\operatorname{Re} \lambda - \omega)^n}, \forall n \in \mathbb{N}^*.$$



# Chapter 2

## Power Bounded Operator And Related Norm Estimates

### 2.1 The Ritt Resolvent Condition

**Theorem 2.1.1.** *Let  $T$  be a bounded linear transformation on a Banach space. Then*

$$\sigma(T) \subset U = \{x \in X, \|x\| < 1\} \cup \{1\},$$

*it exists  $C > 0, \eta > 0$  if  $\lambda \in \rho(T), |\lambda| > 1$  and  $|\lambda - 1| \leq \eta$ , then*

$$\|(\lambda - 1) \cdot (\lambda - T)^{-1}\| \leq C.$$

*Then*

$$\lim_{n \rightarrow +\infty} n^{-1} T^n = 0.$$

*Proof.* Let  $\epsilon > 0$  be chosen, let  $\eta'$  such that the length of the arc intercepted on the unit circle by the circle of radius  $\eta'$  center at  $\lambda = 1$ , is less than  $2\pi C^{-1}\epsilon$ . Let  $\delta = \min(\eta, \eta')$  and  $\Gamma_\delta$  the circle with radius  $\delta$ .  $\Gamma_\delta$  intersects the unit circle in two points  $\lambda_0, \bar{\lambda}_0$ . To be definite, let  $Im(\lambda_0) > 0$ . Let  $\Gamma'$  be the arc of the unit circle which does not contain  $\lambda = 1$ . Let  $\bar{\Gamma}_\delta$  be the arc of  $\Gamma_\delta$  not interior to the unit circle. Let  $\mathbf{N}$  be such that if  $n > \mathbf{N}$ ,

$$\left\| n^{-1} \int_{\Gamma'} \lambda^n (\lambda - T)^{-1} d\lambda \right\| < 2\pi\epsilon, \quad (2.1.1)$$

$$\left\| n^{-1} \int_{\bar{\Gamma}_\delta} (\lambda - T)^{-1} d\lambda \right\| < 2\pi\epsilon, \quad (2.1.2)$$

$$n^{-1} < C^{-1}(e - 1)^{-1}\epsilon. \quad (2.1.3)$$

Let  $n > \mathbf{N}$  and, in what follows, hold  $n$  fixed. Let  $\delta' = \min(n^{-1}, \delta)$ , and  $\Gamma_{\delta'}$  the circle of radius  $\delta'$ .  $\Gamma_{\delta'}$  intersects the unit circle in  $\lambda'$  and  $\bar{\lambda}'$ ,  $Im(\lambda') > 0$ . Let  $\Gamma_+$  and  $\Gamma_-$  be respectively the arcs of the unit circle from  $\lambda_0$  to  $\lambda'$  and  $\bar{\lambda}_0$  to  $\bar{\lambda}'$ , and not exterior to  $\Gamma_\delta$ . Let  $\bar{\Gamma}_{\delta'}$  be the arc of  $\Gamma_{\delta'}$  not interior to the unit circle. Then,

$$\int_{\bar{\Gamma}_\delta} n^{-1} \lambda^n (\lambda - T)^{-1} d\lambda = \left( \int_{\bar{\Gamma}_{\delta'}} + \int_{\Gamma_+} + \int_{\Gamma_-} \right) n^{-1} \lambda^n (\lambda - T)^{-1} d\lambda. \quad (2.1.4)$$

Now,

$$\int_{\Gamma_-} n^{-1} \lambda^n (\lambda - T)^{-1} d\lambda = n^{-1} \sum_{j=0}^{n-1} \int_{\Gamma_-} \lambda^j (\lambda - 1) (\lambda - T)^{-1} d\lambda + n^{-1} \int_{\Gamma_-} (\lambda - T)^{-1} d\lambda, \quad (2.1.5)$$

$$\int_{\Gamma_+} n^{-1} \lambda^n (\lambda - T)^{-1} d\lambda = n^{-1} \sum_{j=0}^{n-1} \int_{\Gamma_+} \lambda^j (\lambda - 1) (\lambda - T)^{-1} d\lambda + n^{-1} \int_{\Gamma_+} (\lambda - T)^{-1} d\lambda, \quad (2.1.6)$$

$$\int_{\bar{\Gamma}_{\delta'}} n^{-1} \lambda^n (\lambda - T)^{-1} d\lambda = n^{-1} \sum_{j=1}^n C_{j,n} \int_{\bar{\Gamma}_{\delta'}} (\lambda - 1)^{j-1} (\lambda - 1) (\lambda - T)^{-1} d\lambda + n^{-1} \int_{\bar{\Gamma}_{\delta'}} (\lambda - T)^{-1} d\lambda. \quad (2.1.7)$$

The sum of the last terms in the right members of (2.1.5), (2.1.6), and (2.1.7) is  $n^{-1} \int_{\bar{\Gamma}_{\delta}} (\lambda - T)^{-1} d\lambda$ , and is less, in norm, than  $2\pi\epsilon$  by (2.1.2).

$$\left\| n^{-1} \sum_{j=0}^{n-1} \int_{\Gamma_+} \lambda^j (\lambda - 1) (\lambda - T)^{-1} d\lambda \right\| \leq C(\text{length of } \Gamma_+) \leq 2\pi\epsilon.$$

A similar statement can be made for the first term of the right member of (2.1.5). Finally,

$$\begin{aligned} & \left\| n^{-1} \sum_{j=1}^n C_{j,n} \int_{\Gamma_{\delta'}} (\lambda - 1)^{j-1} (\lambda - T)^{-1} d\lambda \right\| \\ & \leq n^{-1} C 2\pi \sum_{j=1}^n C_{j,n} (\delta')^j = n^{-1} C 2\pi [(1 + \delta')^n - 1] \\ & \leq n^{-1} C 2\pi [(1 + n^{-1})^n - 1] < n^{-1} C 2\pi (e - 1) < 2\pi\epsilon \text{ by (2.1.3)}. \end{aligned}$$

But  $\|n^{-1} T^n\| = \|(2\pi i)^{-1} (\int_{\Gamma'} + \int_{\bar{\Gamma}_{\delta}}) n^{-1} \lambda^n (\lambda - T)^{-1} d\lambda\|$  and using (2.1.1) and the inequalities obtained above, this is less than  $5\epsilon$ . The theorem is proved.  $\square$

**Remark 2.1.1.** The Ritt's condition for the resolvent  $R(\lambda; T) = (T - \lambda I)^{-1}$  of a bounded linear operator  $T$  in a complex Banach space  $X$  is

$$\|R(\lambda; T)\| \leq \frac{C}{|\lambda - 1|}, \quad |\lambda| > 1, \quad (2.1.8)$$

where  $C$  is a constant,  $C \geq 1$ . Condition (2.1.8) originated in the context of ergodic theory a long time ago [20].

**Remark 2.1.2.** The operators satisfying (2.1.8) attracted a new interest due to O. Nevanlinna who showed in [17] that any sectorial extension of (2.1.8),

$$\|R(\lambda; T)\| \leq \frac{C(\delta)}{|\lambda - 1|} \lambda, \quad \lambda \in S_{\delta},$$

where

$$S_{\delta} = \{\lambda : \lambda \neq 1, |\arg(\lambda - 1)| \leq \pi - \delta\}, \quad 0 \leq \delta < \pi/2,$$

implies the power boundedness of  $T$  (see also [13]).

**Remark 2.1.3.** Assume that

$$\sigma(T) \subset \{|\lambda| < 1\} \cup \{1\}$$

is satisfied. Observe that the differences  $T^n - T^{n+1}$  are the Taylor coefficients of

$$(\lambda - 1)(T - \lambda I)^{-1} = -I + \sum_{n=1}^{\infty} (T^{n-1} - T^n)\lambda^{-n} \quad (|\lambda| > 1).$$

It turns out that the boundedness of this analytic function, that is, the condition

$$\|(T - \lambda I)^{-1}\| \leq \frac{C}{|\lambda - 1|} \quad (|\lambda| > 1).$$

**Theorem 2.1.2.** *Let  $T$  be an operator on a complex Banach space. Then  $T$  satisfies the Ritt resolvent condition if and only if*

- (1)  $T$  is power bounded, and
- (2)  $\sup_n n \|T^{n+1} - T^n\| < \infty$ .

*Proof.* We note that (2) characterizes the essentially quickest possible convergence  $\|T^n - T^{n+1}\| \rightarrow 0$  as  $n \rightarrow \infty$ , in view of [17, Theorem 4.5.1] and [23].

Since

$$\|(T - \lambda I)^{-1}\| \geq \frac{1}{\text{dist}(\lambda, \sigma(T))}, \tag{2.1.9}$$

we see that

$$\|(T - \lambda I)^{-1}\| \leq \frac{C}{|\lambda - 1|} \quad (|\lambda| > 1) \tag{2.1.10}$$

corresponds to the slowest possible growth of the resolvent at  $1 \in \sigma(T)$ . This adds further interest to the question about the relation between (2.1.9) and the condition (2), and motivates the more general problem of relating the rate of growth of the resolvent at 1 to the rate of convergence in

$$\lim_{n \rightarrow \infty} \|T^n - T^{n+1}\| = 0.$$

□

## 2.2 Esterle's Result

We give results similar to the special case of Sinclair's Theorem [22] considered by Bonsall and Crabb [3]; Berkani, Esterle and Mokhtari [2]; and by Esterle and Mokhtari [8]. The function  $W$  described below is often called the Lambert function in [4].

**Theorem 2.2.1.** *Let  $A$  be a bounded operator on a Banach space such that  $\sigma(A) = \{0\}$ . For each  $t > 0$  such that  $\|Ae^{tA}\| \leq 1/et$ , we have that  $\|A\| \leq 1/t$ . In particular, if  $\liminf_{t \rightarrow \infty} t \|Ae^{tA}\| < 1/e$ , then  $A = 0$ .*

*Proof.* Let  $f(z) = ze^z$ . There is an analytic function  $W$  such that  $W(f(z)) = z$  in some neighborhood of 0. In particular, by the Riesz-Dunford functional calculus,  $W(tAe^{tA}) = tA$ . Now

$$W(z) = \sum_{m=1}^{\infty} p_m z^m$$

where, by Lagrange's inversion formula [1],

$$p_m = \frac{1}{m!} \left. \frac{d^{m-1}}{dz^{m-1}} \left( \frac{z}{f(z)} \right)^m \right|_{z=0} = \frac{(-m)^{m-1}}{m!}.$$

The radius of convergence of  $W$  is  $1/e$ , and  $\sum_{m=1}^{\infty} |p_m|e^{-m} = 1$ , since  $f(-1) = -1/e$ . Therefore  $\|W(tAe^{tA})\| \leq 1$ , and the result follows.  $\square$

**Theorem 2.2.2.** *Let  $T$  be a bounded operator on a Banach space such that  $\sigma(T) = \{1\}$ . For each positive integer  $n$  such that  $\|T^{n+1} - T^n\| \leq n^n/(n+1)^{n+1}$ , we have that  $\|T - I\| \leq 1/(n+1)$ . In particular, if  $\liminf_{n \rightarrow \infty} n \|T^{n+1} - T^n\| < 1/e$ , then  $T = I$ .*

*Proof.* Let  $f_n(z) = z(1 + z/n)^n$ . There is analytic function  $W_n$  such that  $W_n(f_n(z)) = z$  in some neighborhood of 0. In particular, by the Riesz-Dunford functional calculus,  $W_n(n(T^{n+1} - T^n)) = n(T - I)$ . Now

$$W_n(z) = \sum_{m=1}^{\infty} p_{nm} z^m$$

where

$$p_{nm} = \left. \frac{1}{m!} \frac{d^{m-1}}{dz^{m-1}} \left( \frac{z}{f_n(z)} \right)^m \right|_{z=0} = \frac{(-1)^{m-1}}{n^{m-1}(nm+m-1)} \binom{nm+m-1}{m}.$$

The radius of convergence of  $W_n$  is  $r_n = (n/(n+1))^{n+1}$ , and  $\sum_{m=1}^{\infty} |p_{nm}|r_n^m = n/(n+1)$ , since  $f_n(-n/(n+1)) = -r_n$ . Therefore  $\|W_n(n(T^{n+1} - T^n))\| \leq n/(n+1)$  and the result follows.  $\square$

**Theorem 2.2.3.** (1) *There exists an operator  $A \neq 0$  on a Hilbert space, with  $\sigma(A) = \{0\}$ , and  $\limsup_{t \rightarrow \infty} t \|Ae^{tA}\| \leq 1/e$ .*

(2) *There exists an operator  $T \neq I$  on a Hilbert space, with  $\sigma(T) = \{1\}$ , and  $\limsup_{n \rightarrow \infty} n \|T^{n+1} - T^n\| \leq 1/e$ .*

*Proof.* Let us consider the operator on  $L_2[0, 1]$

$$A = - \int_0^{1/2} L^\alpha d\alpha.$$

Lyubich [14] showed that the operator  $B = \int_0^\infty J^\alpha d\alpha$  has spectral radius equal to 0 on  $L_p([0, 1])$  for all  $1 \leq p \leq \infty$ . Now both  $-A$  and  $B$  are operators with positive kernels, and the kernel of  $-A$  is bounded above by the kernel of  $B$ . It follows that on  $L_p([0, 1])$  for  $p = 1$  or  $p = \infty$  that  $\|A^n\| \leq \|B^n\|$  for all positive integers  $n$ . Thus  $A$  has spectral radius equal to 0 on  $L_p([0, 1])$  for  $p = 1$  and  $p = \infty$ , and hence, by interpolation, for all  $1 \leq p \leq \infty$ .

We also de

ne the operator on  $L_2(\mathbb{R})$

$$\tilde{A} = - \int_0^{1/2} \tilde{L}^\alpha d\alpha.$$

Following the above argument, we see that  $\|Ae^{tA}\| \leq \|\tilde{A}e^{t\tilde{A}}\|$ , and that  $\widehat{\tilde{A}e^{t\tilde{A}}f}(\xi) = k(\xi)\hat{f}(\xi)$ , where

$$|k(\xi)| = |h(\xi)| \exp(-tRe(h(\xi))),$$

and

$$h(\xi) = \int_0^{1/2} m_\alpha(\xi) d\alpha.$$

One sees that  $\arg(h(\xi)) \rightarrow 0$  as  $\xi \rightarrow \infty$ , and hence it is an easy matter to see that  $\limsup_{t \rightarrow \infty} t \| Ae^{tA} \| \leq 1/e$ .

The second example is given by  $T = e^A$ . Note that  $T \neq I$ , because otherwise  $A = \log(T) = 0$ . The estimate is easily obtained since  $T^{n+1} - T^n = \int_n^{n+1} Ae^{tA} dt$ .  $\square$

## 2.3 Power Boundedness

**Theorem 2.3.1.** *Let  $T$  be a bounded operator on a Banach space  $X$  such that  $\limsup_{n \rightarrow \infty} n \| T^{n+1} - T^n \| < 1/e$ . Then  $X$  decomposes as the direct sum of two closed  $T$ -invariant subspaces such that  $T$  is the identity on one of these subspaces, and the spectral radius of  $T$  on the other subspace is strictly less than 1. In particular,  $T^n$  converges to a projection.*

*Proof.* First note that  $\sigma(T)$  must be contained in  $\{1\} \cup \{z : |z| < \alpha\}$  for some  $\alpha < 1$ , otherwise it is easy to see that limit superior of the spectral radius of  $T^{n+1} - T^n$  is at least  $1/e$  (see, for example [10, Theorem 4.5.1]). Thus there is a projection  $P$  that commutes with  $T$  such that  $\sigma(T|_{\text{image}(P)}) = \{1\}$ , and the spectral radius of  $T|_{\ker(P)}$  is strictly less than 1.  $\square$

A very similar proof works also for the following continuous time version. However, we were also able to produce a different proof of this same result.

**Theorem 2.3.2.** *Let  $A$  be a bounded operator on a Banach space  $X$  such that  $L = \limsup_{t \rightarrow \infty} t \| Ae^{tA} \| < 1/e$ . Then  $X$  decomposes as the direct sum of two closed  $A$ -invariant subspaces such that  $A$  is the zero operator on one of these subspaces, and on the other subspace the supremum of the real part of the spectrum is strictly negative. In particular,  $e^{tA}$  converges to a projection.*

*Proof.* To illustrate the ideas, let us

first prove that  $e^{tA}$  converges in the case that  $L < 1/4$ , that is, there are constants  $c < 1/4$  and  $t_0 > 0$  such that  $\| Ae^{tA} \| \leq c/t$  for  $t \geq t_0$ . It follows that  $\| A^2 e^{2tA} \| \leq c^2/t^2$  for  $t \geq t_0$ , or  $\| A^2 e^{tA} \| \leq 4c^2/t^2$  for  $t \geq 2t_0$ . Then for  $t \geq 2t_0$  we have

$$\| Ae^{tA} \| = \left\| \lim_{\tau \rightarrow \infty} \int_t^\tau A^2 e^{sA} ds \right\| \leq \frac{4c^2}{t},$$

since  $Ae^{\tau A} \rightarrow 0$  as  $\tau \rightarrow \infty$ . Iterating this process, we get that  $\| Ae^{tA} \| \leq (4c)^{2^k}/4t$  for  $t \geq 2^k t_0$ . To put this another way,  $\| Ae^{tA} \| \leq (4c)^{t/2t_0}/4t$  for  $t \geq t_0$ . It follows that

$$e^{t_1 A} - e^{t_2 A} = \int_{t_2}^{t_1} Ae^{sA} ds$$

converges to zero as  $t_1, t_2 \rightarrow \infty$ , that is,  $e^{tA}$  is a Cauchy sequence. Hence it converges.

The case when  $L < 1/e$  is only marginally more complicated. Again, there are constants  $c < 1/e$  and  $t_0 > 0$  such that  $\| Ae^{tA} \| \leq c/t$  for  $t \geq t_0$ . For any integer  $M \geq 2$  we have that  $\| A^M e^{tA} \| \leq (cM)^M/t^M$  for  $t \geq Mt_0$ . Integrating  $(M-1)$  times we obtain that

$$\| Ae^{tA} \| \leq \frac{(cM)^M}{t^{(M-1)!}} \text{ for } t \geq Mt_0.$$

A simple computation shows that

$$\frac{(cM)^M}{(M-1)!} \leq \frac{M}{e} (ce)^M,$$

and hence iterating we obtain that if  $t > M^k t_0$  then

$$\| Ae^{tA} \| \leq \left(\frac{M}{e}\right)^{-1/(M-1)} \left(ce\left(\frac{M}{e}\right)^{1/(M-1)}\right)^{M^k} \frac{1}{t}.$$

By choosing  $M$  is sufficiently large, we see that there exist constants  $c_1, c_2 > 1$  such that  $\| Ae^{tA} \| \leq c_1 c_2^{-t}/t$  for  $t \geq t_0$ , and hence  $\| Ae^{tA} \|$  converges.

Now it is clear that  $S = \lim_{t \rightarrow \infty} e^{tA}$  is a bounded projection (because  $S^2 = S$ ) such that  $Se^{tA} = e^{tA}S = S$ . Let  $X_1 = \text{Im}(S)$ , and  $X_2 = \ker(S)$ , so  $X = X_1 \oplus X_2$ . These spaces are clearly invariant under  $e^{tA}$ , and hence invariant under  $A = \lim_{t \rightarrow 0} (e^{tA} - I)/t$ . Since  $S|_{X_1} = I|_{X_1}$  we see immediately that  $e^{tA}|_{X_1} = I|_{X_1}$ , and so  $A|_{X_1} = \lim_{t \rightarrow 0} (e^{tA}|_{X_1} - I|_{X_1})/t = 0$ . Furthermore, we have that  $e^{tA}|_{X_2} \rightarrow 0$ . Let  $t_0$  be such that  $\| e^{t_0 A}|_{X_2} \| \leq 1/2$ . Then the spectral radius of  $e^{t_0 A}|_{X_2}$  is bounded by  $1/2$ , and so  $\sup \text{Re}(A|_{X_1}) < -\log(2)/t_0$ .  $\square$

We also point out that one could prove Theorem 2.1.1 in a similar manner. But the details can be quite complicated. It is also possible to deduce Theorem 2.1.1 from Theorem 2.1.2. Briefly, if  $\| T^{n+1} - T^n \| \leq (1 + \epsilon)L/(n + 1)$  for large enough  $n$ , then by writing out the power series for  $(T - I)e^{tT}$  about  $t = 0$  one obtains that  $\| (T - I)e^{tT} \| \leq (1 + 2\epsilon)L e^t/t$  for large enough  $t$ . The result now follows quickly by applying Theorem 2.1.2 to  $A = T - I$ , remembering that  $\sigma(T) \subset \{1\} \cup \{z : |z| < 1\}$ .

Now we give some counterexamples to show that in general the condition  $\sup_n n \| T^{n+1} - T^n \| < \infty$  does not necessarily imply power boundedness.

**Theorem 2.3.3.** *There exists a bounded operator  $T$  on  $L_1(\mathbb{R})$  such that  $\sup_n n \| T^{n+1} - T^n \| < \infty$ , and  $\| T^n \| \approx \log n$ .*

*Proof.* The example is a multiplier on  $L(\mathbb{R})$  given by  $\widehat{Tf}(\xi) = m(\xi)\hat{f}(\xi)$ . It is well known that such an operator is bounded if the inverse Fourier transform  $\check{m}$  is a measure of bounded variation, and indeed that the norm is equal to the variation of  $\check{m}$ .

Let us consider the case

$$m(\xi) = \begin{cases} 1 & \text{if } |\xi| \leq 1 \\ \exp(1 - |\xi|) & \text{if } |\xi| > 1. \end{cases}$$

An explicit computation shows that the inverse Fourier transform of  $m^n$  is

$$\frac{nx \cos(x) + n^2 \sin(x)}{\pi x(x^2 + n^2)}$$

and that the inverse Fourier transform of  $m^{n+1} - m^n$  is

$$\frac{(x^2 - n(n+1)) \cos(x) + (2nx + x) \sin(x)}{\pi(x^2 + n^2)(x^2 + (n+1)^2)},$$

and it is now easy to verify the claims.  $\square$

**Proposition 2.3.1.** *Let  $X$  be an infinite dimensional Banach space and suppose  $(c_n)_{n=1}^\infty$  is a sequence such  $\lim_{n \rightarrow \infty} c_n = \infty$  and  $\lim_{n \rightarrow \infty} c_n n^{-\frac{1}{2}} = 0$ . Then  $X$  contains a be-orthogonal system  $(e_n, e_n^*)_{n=1}^\infty$  such that :*

- (a) If  $P_n x = \sum_{k=1}^n e_k^*(x) e_k$  then  $\| P_n \| \geq c_n$  and  
 (b)  $\lim_{n \rightarrow \infty} \| e_n^* \| \| e_n \| = 1$ .

*Proof.* Let us suppose  $X$  is a Hilbert space. We pick an orthonormal sequence  $(f_n)_{n=0}^\infty$  and a decreasing sequence of positive reals  $(\tau_m)_{m=1}^\infty$  such that  $\lim_{m \rightarrow \infty} \tau_m = 0$  and  $\tau_m \geq 2c_n n^{-\frac{1}{2}}$  whenever  $2^{m-1} \leq n < 2^m$ . Note that this implies  $\lim_{m \rightarrow \infty} 2^{\frac{m}{2}} \tau_m = \infty$  since  $\lim_{n \rightarrow \infty} c_n = \infty$ . Denote by  $(f_n^*)_{n=0}^\infty$  the sequence bi-orthogonal to  $(f_n)$  with  $\| f_n^* \| = 1$  (i.e.  $f_n^*(x) = (x, f_n)$ ).

$e_n = f_n + \tau_m f_0$  for  $n \geq 1$  and  $2^m \leq n < 2^{m+1}$ . Let  $e_n^* = f_n^*$ . Then  $(e_n, e_n^*)_{n=1}^\infty$  is a bi-orthogonal system with  $\lim_{n \rightarrow \infty} \| e_n \| \| e_n^* \| = 1$ . Note that  $\| P_1 \| \geq \tau_1 \geq c_1$ . Now suppose  $2^m \leq n \leq 2^{m+1}$  where  $m \geq 1$ . Then

$$\left\| \sum_{k=2^{m-1}}^{2^m-1} e_k \right\| \geq \tau_m 2^{m-1}.$$

On the other hand for any  $r > m + 1$

$$\left\| \sum_{k=2^{m-1}}^{2^m-1} e_k - \tau_m \tau_r^{-1} 2^{m-r} \sum_{k=2^{r-1}}^{2^r-1} e_k \right\| \leq 2^{(m-1)/2} + \tau_m \tau_r^{-1} 2^{m-\frac{1}{2}(r+1)}.$$

The second term on the right tends to zero as  $r \rightarrow \infty$ . We deduce that  $\| P_n \| \geq \tau_m 2^{(m-1)/2} \geq \frac{1}{2} \tau_{m+1} \sqrt{n} \geq c_n$ .  $\square$

**Theorem 2.3.4.** *Suppose  $0 < a < \frac{1}{2}$ . On any infinite dimensional Banach space  $X$ , there exists a bounded operator  $T : X \rightarrow X$  such that  $\limsup_{n \rightarrow \infty} n \| T^{n+1} - T^n \| = \frac{1}{2}$  and for some  $C > 0$  we have  $\| T^n \| \geq c(\log n)^a$  for all  $n \geq 2$ .*

*Proof.* Suppose  $a < b < \frac{1}{2}$ . By Proposition 2.3.1 we may pick a biorthogonal sequence  $(e_n, e_n^*)_{n=1}^\infty$  in  $X$  so that  $\lim_{n \rightarrow \infty} \| e_n \| \| e_n^* \| = 1$  and the operators  $P_n$  satisfy  $\| P_n \| \geq n^b$ . Let  $M = \max_{n \geq 1} \| e_n \| \| e_n^* \|$ .

Define  $T : X \rightarrow X$  by

$$Tx = x + \sum_{k=1}^\infty (\lambda_k - 1) e_k^*(x) e_k$$

where  $\lambda_k = \exp(-1/(2k!))$ . Since  $|\lambda_k - 1| \leq 1/(2k!)$  it follows that  $T$  is bounded and  $\| T \| \leq Me + 1$ .

Consider

$$(T^n - T^{n+1})x = \sum_{k=1}^\infty (\lambda_k^n - \lambda_k^{n+1}) e_k^*(x) e_k.$$

Hence

$$n \| T^n - T^{n+1} \| \leq \sum_{k=1}^\infty \frac{n e^{-n/(2k)!}}{(2k)!} \| e_k \| \| e_k^* \|.$$

To estimate this sum suppose  $(2m-1)! \leq n < (2m+1)!$ . Then

$$n \| T^n - T^{n+1} \| \leq M \left( \sum_{k \neq m} \frac{n}{(2k)!} e^{-n/(2k)!} \right) + \frac{n}{(2m)!} e^{-n/(2m)!} \| e_m \| \| e_m^* \|.$$

Simple estimates show that the

first term converges to 0 as  $n \rightarrow \infty$ . We also note that  $te^{-t} \leq e^{-1}$  for  $t > 0$ . Hence  $\limsup_n n \| T^n - T^{n+1} \| \leq 1/e$ .

Next we estimate  $\| T^n \|$ . If  $(2m-1)! \leq n \leq (2m+1)!$  then

$$(P_m + T^n)x = x + \sum_{k=1}^m \lambda_k^n e_k^*(x) e_k + \sum_{k=m+1}^\infty (\lambda_k^n - 1) e_k^*(x) e_k.$$

Hence

$$\| P_m + T^n \| \leq 1 + M \left( e^{-n/(2m)!} + \sum_{k=1}^{m-1} e^{-n/(2k)!} + \sum_{k=m+1}^{\infty} \frac{n}{(2k)!} \right).$$

Again it is simple to see that

$$\| P_m + T^n \| \leq M_1$$

for some suitable constant  $M_1$  independent of  $n$ . Thus  $\| T^n \| \geq \| P_m \| - M_1 \geq m^b - M_1$ . Since  $\log n \leq (2m+1) \log(2m+1)$  we have  $(\log n)^a \leq C_1 m^b$  for a suitable constant  $C_1$  and the result follows.  $\square$

## 2.4 A General Approach

In a more general approach, we will discuss how to extend theories 2.2.1, 2.2.2.

**Lemma 2.4.1.** *Let  $f, h$  be analytic functions on the disk  $\{z : |z| < R\}$ . Suppose  $f \in \mathcal{P}$  and that  $h$  satisfies  $h(0) > 0, h^{(n)} \geq 0$  for all  $n \geq 1$  and  $h$  is nonvanishing. Then if  $F(z) = f(z)/h(z)$  we have  $F \in \mathcal{P}$ .*

*Proof.* To see [12].  $\square$

**Theorem 2.4.1.** *Let  $A$  be a quasinilpotent operator on a Banach space  $X$ . Suppose  $f$  is an admissible analytic function defined on a disk  $\{z : |z| < R\}$  and suppose  $\xi$  is the smallest positive solution of  $f'(x) = 0$ . Then if  $\| f(A) \| \leq f(\xi)$  we have  $\| A \| \leq \xi$ .*

*Proof.* To see [12].  $\square$

**Theorem 2.4.2.** *Suppose  $T$  is a bounded operator with  $\sigma(T) = \{1\}$  and for some  $m, n \in \mathbb{N}$  with  $m > n$  we have*

$$\| T^m - T^n \| \leq \left(1 - \frac{n}{m}\right) \left(\frac{n}{m}\right)^{n/(m-n)}.$$

Then  $\| T - I \| \leq 1 - \left(\frac{n}{m}\right)^{1/(m-n)}$ .

*Proof.* We show that  $f(z) = (1-z)^n - (1-z)^m$  is admissible. This follows from Lemma 2.4.1 since  $f(z) = (1-z)^n(1 - (1-z)^{m-n})$  and the function  $1 - (1-z)^{m-n}$  is in  $\mathcal{P}$  since its local inverse at the origin is given by  $1 - (1-z)^{1/(m-n)}$ . Now apply Theorem 2.4.1 to  $I - T$ .  $\square$

Other formulas can be applied of theorem 2.2.2 from theorem 2.4.2. For example we have the following Corollaries:

**Corollary 2.4.1.** Suppose  $T$  is a bounded operator with  $\sigma(T) = \{1\}$ . If

$$\liminf_{m/n \rightarrow \infty} \| T^m - T^n \| < 1$$

then  $T = I$ .

More precisely if

$$\limsup_{m/n \rightarrow \infty} \frac{m}{n \log(m/n)} (1 - \| T^m - T^n \|) > 1$$



then  $T = I$ .

**Corollary 2.4.2.** Suppose  $T$  is a bounded operator with  $\sigma(T) = \{1\}$ . If

$$\liminf_{p/n \rightarrow 0} \frac{n}{p} \|T^{n+p} - T^n\| < \frac{1}{e}$$

then  $T = I$ .

**Corollary 2.4.3.** Suppose  $T$  is a bounded operator with  $\sigma(T) = \{1\}$ . Suppose  $0 < s < 1$ . If

$$\liminf_{\substack{m/n \rightarrow s \\ m, n \rightarrow \infty}} \|T^m - T^n\| < (1-s)s^{s/(1-s)}$$

then  $T = I$ .

Then the next theorem is a generalization of the argument used by Bonsall and Crabb [3] to prove a special case of Sinclair's Theorem [22], namely that the norm of an hermitian element  $A$  of a Banach algebra coincides with its spectral radius  $r(A)$ .

# Chapter 3

## Power Bounded Operators And Semigroups (Application $C_0$ -semigroups of Contractions)

### 3.1 Introduction

The author is interested in the neighborhood of  $\|T(t) - T(s)\|$  near the origin when the infinitesimal generator  $A$  of the strongly continuous semigroup of bounded operators  $(T(t))_{t>0}$  on a Banach space  $X$  is not bounded on its domain  $D_A$ .

We pose

$$\theta(s/t) = \left(\frac{s}{t-1}\right)\left(\frac{t}{s}\right)^{\frac{s}{t-1}} = (s-t)\frac{t^{\frac{s-t}{s-t}}}{s^{\frac{s-t}{s-t}}} \text{ if } 0 < t < s,$$

value which will play an important role for the neighborhood of  $\|T(t) - T(s)\|$  when the infinitesimal generator of the semi group is unbounded.

Let  $\widehat{A_T}$  be the character space of the closed subalgebra  $A_T$  of  $\mathcal{B}(X)$  generated by the semigroup  $(T(t))_{t>0}$  and set

$$\sigma_T = \{|\phi(T(1))|\}_{\phi \in \widehat{A_T}} \cup \{0\}.$$

In the case when  $\widehat{A_T} = \emptyset$ , the semigroup is quasinilpotent.

We can distinguish between four situations:

- (1) 0 is an isolated point of  $\sigma_T$ , and the semigroup is not quasinilpotent;
- (2) there exists  $\delta > 0$  such that  $[0, \delta] \subset \sigma_T$ , and in this case it is clear that there is  $\eta > 0$  such that

$$\|T(t) - T(s)\| \geq \theta(s/t) \text{ for } 0 < t < s \leq \eta;$$

- (3) 0 is not an isolated point of  $\sigma_T$ .
- (4)  $\sigma_T = \{0\}$ , so that the semigroup is quasinilpotent.

### 3.2 Quasinilpotent semigroups

In this section, we begin by using a well-known method due to Feller [8] which allows us to restrict attention to quasinilpotent  $C_0$ -semigroups of contractions.

**Lemma 3.2.1.** *Let  $(T(t))_{t>0}$  be a nontrivial, quasinilpotent, strongly continuous semigroup of bounded operators on a Banach space  $X$ , let  $t_0 > 0$  such that  $T(t) \neq 0$ , and let  $\omega > 0$ . Then there exists a Banach space  $Y$  and a strongly continuous semigroup  $(T_1(t))_{t>0}$  of bounded operators on  $Y$  satisfying the following conditions:*

- (1)  $T_1(\frac{1}{3}t_0) \neq 0$ ;
- (2)  $\|T_1(t)\| \leq e^{-\omega t}$  for  $t > 0$ ;
- (3)  $\lim_{t \rightarrow 0^+} \|T_1(t)y - y\| = 0$  for  $y \in Y$ ;
- (4)  $\|T_1(t) - T_1(s)\| \leq \|T(t) - T(s)\|$  for  $s, t > 0$ .

*Proof.* See[5]. □

**Lemma 3.2.2.** *Let  $\alpha > 1$ , and set  $r_\alpha = \log(\alpha/(\alpha - 1))$  and  $R_\alpha = (\alpha - 1)^{\alpha-1}/\alpha^\alpha$ . There exists an analytic function  $g_\alpha : D(0, R_\alpha) \rightarrow D(0, r_\alpha)$  such that  $g_\alpha(0) = 0$  and  $e^{g_\alpha(z)} - ze^{\alpha g_\alpha(z)} = 1$  for  $|z| < R_\alpha$ . Moreover  $g_\alpha^{(k)}(0) > 0$  for every  $k \geq 1$  and*

$$\sum_{k=1}^{+\infty} \frac{g_\alpha^{(k)}(0)}{k!} R_\alpha^k = r_\alpha .$$

**Theorem 3.2.1.** *Let  $(T(t))_{t>0}$  be a non-trivial strongly continuous semigroup of bounded operators on a Banach space  $X$ . If  $(T(t))_{t>0}$  is quasinilpotent, then there exists  $\delta > 0$  such that*

$$\|T(t) - T(s)\| > \theta(s/t) \text{ for } 0 < t < s < \delta.$$

*Proof.* Apply Lemma 3.2.1 with  $\omega = 3/t_0$  and set  $S(t) = T_1(t/\omega)$  for  $t > 0$ . Then  $(S(t))_{t>0}$  is a strongly continuous quasinilpotent semigroup on  $Y$  which satisfies the following properties:

- (1)  $S(1) \neq 0$ ;
- (2)  $\|S(t)\| \leq e^{-t}$  for  $t > 0$ ;
- (3)  $\lim_{t \rightarrow 0^+} \|S(t)y - y\| = 0$  for  $y \in Y$ ;
- (4)  $\|S(\omega t) - S(\omega s)\| \leq \|T(t) - T(s)\|$  for  $t, s > 0$ ;

Let  $D(A)$  be the domain of the infinitesimal generator  $A$  of the semigroup  $(S(t))_{t>0}$ . Then  $D(A^2) = \{y \in D(A) | Ay \in D(A)\}$  is a dense subspace of  $Y$  (see for example Proposition 1.8 in [4]). If  $y \in D(A)$ , we have  $(S(t) - S(s))y = \int_s^t S(u)Aydu$ , and so  $\|(S(t) - S(s))y\| \leq \|Ay\| (s - t)$  for  $s > t > 0$ . Since  $A(S(t) - S(s)) = (S(t) - S(s))A$ , we see that  $\|(S(t) - S(s))^2 y\| \leq \|A^2 y\| (s - t)^2$  for  $y \in D(A^2)$  and  $s > t > 0$ .

Fix  $0 < t < s < 1$ , and set  $U = S(t) - S(s)$ ,  $\alpha = s/t$  and  $\gamma = \alpha - 1$ . It follows from Proposition 2.4 in[5] that  $S(t) = U \exp g_\alpha(U^\gamma)$ . Set  $h = e^{g_\alpha}$ . It follows from Lemma 3.2.2 that  $h(z) = \sum_{k=0}^{+\infty} a_k z^k$

for  $|z| \leq R_\alpha$ , with  $a_k > 0$  for  $k \geq 0$ , and that  $\sum_{k=0}^{+\infty} a_k R_\alpha^k = e^{r_\alpha}$ .

We obtain

$$S(t) = U \sum_{k=0}^{+\infty} a_k (U^\gamma)^k = \sum_{k=0}^{+\infty} a_k U^{k\gamma+1} = \sum_{k=0}^{+\infty} a_k U^{\lfloor k\gamma \rfloor + 2 - \lambda_k},$$

where  $\lfloor k\gamma \rfloor \in \mathbf{z}^+$  satisfies  $\lfloor k\gamma \rfloor \leq k\gamma < \lfloor k\gamma \rfloor + 1$ . Hence  $\lambda_k = \lfloor k\gamma \rfloor - k\gamma + 1 \in ]0, 1]$ .

Set  $S = S(1)$ , and let  $\lambda \in ]0, 1]$  and  $y \in D(A^2)$ . A simple computation gives

$$U^{2-\lambda} S y = S(1 - \lambda t)(I - S(s - t))^{-\lambda} U^2 y.$$

We know that  $\|U^2y\| \leq \|A^2y\| (s-t)^2$ , and we have

$$\begin{aligned} \|(I - S(s-t))^{-\lambda}\| &= \left\| I + \sum_{k=1}^{+\infty} (-1)^k \frac{(-\lambda)\dots(-\lambda-k+1)}{k!} S(k(s-t)) \right\| \\ &= \left\| I + \sum_{k=1}^{+\infty} \frac{\lambda\dots(\lambda+k-1)}{k!} S(k(s-t)) \right\| \\ &\leq 1 + \sum_{k=1}^{+\infty} \frac{\lambda\dots(\lambda+k-1)}{k!} e^{-k(s-t)} \\ &= (1 - e^{-(s-t)})^{-\lambda} \\ &\leq e^{\lambda(s-t)} (s-t)^{-\lambda}. \end{aligned}$$

We obtain

$$\|U^{2-\lambda}Sy\| \leq \|A^2y\| \|e^{\lambda t-1} e^{\lambda(s-t)} (s-t)^{2-\lambda}\| \leq \|A^2y\| (s-t)^{2-\lambda}$$

for  $0 < t < s < 1, \lambda \in ]0, 1]$  and  $y \in D(A^2)$ . If  $\|U\| \leq \theta(\alpha)$ , we have

$$\begin{aligned} \|S(t)Sy\| &\leq \|A^2y\| \sum_{k=0}^{+\infty} a_k \theta(\alpha)^{\lfloor k\gamma \rfloor} (s-t)^{2-\lambda_k} \\ &= \|A^2y\| (s-t) \sum_{k=0}^{+\infty} a_k \theta(\alpha)^{k\gamma} \left( \frac{s-t}{\theta(\alpha)} \right)^{1-\lambda_k}. \end{aligned}$$

We have  $(s-t)/\theta(s/t) \leq e$ . Also  $\theta(\alpha)^\gamma = R_\alpha$ , and so  $\sum_{k=0}^{+\infty} a_k \theta(\alpha)^{k\gamma} = e^{r_\alpha} = s/(s-t)$ .

We obtain

$$\|S(t)Sy\| \leq e \|A^2y\| s$$

if  $y \in D(A^2), s \in (0, 1)$ , and if  $t \in (0, s)$  satisfies  $\|S(t) - S(s)\| \leq \theta(s/t)$ . Since  $S \neq 0$  and since  $D(A^2)$  is dense in  $Y$ , there exists  $y \in D(A^2)$  such that  $Sy \neq 0$ . Since the semigroup  $(S(t))_{t>0}$  is strongly continuous, there exists  $\eta > 0$  such that  $\|S(t)Sy\| \geq \frac{1}{2} \|Sy\|$  for  $t \in ]0, \eta[$ . Set  $\delta = \min\{\eta, 1, \|Sy\|/2e(\|A^2y\| + 1)\} > 0$ . For  $0 < t < s < \delta$ , we have  $\|S(t)Sy\| > e \|A^2y\| s$ , and so  $\|S(t) - S(s)\| > \theta(s/t)$ . Hence  $\|T(t) - T(s)\| \geq \|S(3t/t_0) - S(3s/t_0)\| = \theta(s/t)$  if  $0 < t < s < \frac{1}{3}t_0\delta$ .  $\square$

**Theorem 3.2.2.** *Let  $\varepsilon : (0, 1) \rightarrow (0, +\infty)$  be a nondecreasing function. Then there exists a non-trivial quasinilpotent, norm continuous semigroup  $(T_\varepsilon(t))_{t>0}$  of bounded operators on the separable Hilbert space which satisfies, for  $0 < t < s \leq 1$ ,*

$$\|T_\varepsilon(t) - T_\varepsilon(s)\| \leq \theta(s/t) + (s-t)\varepsilon(s).$$

*Proof.* Let  $A(D)$  be the usual disc algebra, i.e. the algebra of functions analytic on the open unit disc  $D$  which admit a continuous extension to the closed unit disc  $\bar{D}$ , equipped with the norm  $\|\phi\| = \max_{z \in \bar{D}} |\phi(z)| = \sup_{z \in D} |\phi(z)|$ . The Banach algebra  $A(D)$  is a closed subalgebra of the Banach algebra  $H^\infty(D)$  of bounded holomorphic functions on  $D$ .

If  $h$  is a nonnegative function on  $(0, 1)$ , we will use the notation

$$\Omega_h := \{z = x + iy \in C \mid 0 < x < 1 \text{ and } 0 < y < h(x)\}.$$

We will first associate a nontrivial norm-continuous semigroup in  $A(D)$  to each continuous function  $f : [0, 1] \rightarrow [0, +\infty)$  such that  $f(0) = f(1) = 0$  and  $f(x) > 0$  for  $x \in (0, 1)$ . Set  $g(x) = x + if(x)$  for  $x \in (0, 1)$ , and  $g(x) = 2 - x$  for  $x \in (1, 2)$ . We obtain a Jordan curve, and there exists a conformal mapping  $G$  from the open unit disc  $D$  onto the interior  $\Omega_f$  of this Jordan curve. By Caratheodory's theorem,  $G$  extends to a homeomorphism from  $\bar{D}$  onto  $\bar{\Omega}_f$ , which maps the unit circle onto  $\partial\Omega_f = g([0, 2])$ . Since  $0 \in \bar{\Omega}_f$ ,  $|G^{-1}(0)| = 1$ . Set  $F(z) = G(G^{-1}(0)z)$  for  $z \in \bar{D}$ , so that  $F(1) = 0$ . Then  $F$  is also a homeomorphism from  $\bar{D}$  onto  $\bar{\Omega}_f$ , and the restriction of  $F$  to  $D$  is a conformal mapping from  $D$  onto  $\Omega_f$ . Using the principal determination of the logarithm we now define  $F^t(z)$  for  $z \in \bar{D}$ ,  $t > 0$ , by the formula

$$F^t(z) = \begin{cases} e^{t \log F(z)} & , z \in \bar{D} \setminus \{1\}, \\ 0 & , z = 1. \end{cases}$$

It follows from the definition of  $F^t(z)$  that  $F^{s+t}(z) = F^s(z)F^t(z)$  for  $s > 0, t > 0$  and  $|z| \leq 1$ . The function  $F^t$  is clearly continuous on  $\bar{D} \setminus \{1\}$  and analytic on  $D$ . Since  $|F^t(z)| = |F(z)|^t$  for  $t > 0$  and  $|z| \leq 1$ , and since  $F(1) = 0$ . We see that  $F^t$  is also continuous at 1, and  $F^t \in M := \{H \in A(D) | H(1) = 0\}$  for  $t > 0$ .

For  $\eta > 0$  set  $V_\eta = \{z \in \bar{D} \mid |z - 1| < \eta\}$ . Fix  $t > 0$  and  $\varepsilon > 0$ . There exists  $\eta > 0$  such that  $|F^s(z)| < \frac{1}{2}\varepsilon$  for  $z \in V_\eta$ ,  $s \in (\frac{1}{2}t, \frac{3}{2}t)$ . Since  $\min_{z \in \bar{D} \setminus V_\eta} |F(z)| > 0$ , the set  $\{\log F(z) \mid z \in \bar{D} \setminus V_\eta\}$  is compact, and  $\lim_{s \rightarrow t} \sup_{z \in \bar{D} \setminus V_\eta} |F^s(z) - F^t(z)| = 0$ . These two observations show that the map  $t \rightarrow F^t$  is continuous on  $(0, +\infty)$  with respect to the norm of  $A(D)$ .

Consider the singular inner function  $\Psi(z) = e^{(z+1)/(z-1)}$ , and denote by  $P$  the orthogonal projection of  $H^2(D)$  onto  $H^2(D) \ominus \Psi H^2(D)$ .

Now set

$$T(t)f = PF^t f, f \in H^2(D) \ominus \Psi H^2(D).$$

A standard verification shows that we have  $\|T(t)\| = \|\pi(F^t)\|$ , where  $\pi : M \rightarrow M/\Psi M$  is the canonical surjection. So  $(T(t))_{t>0}$  is norm-continuous on  $(0, +\infty)$ . Since  $\bigcap_{n=1}^\infty \psi^n M = \{0\}$ , and since the quotient algebra  $M/\Psi M$  is radical,  $(T(t))_{t>0}$  is a nontrivial quasinilpotent semigroup. So in order to prove the proposition it suffices to construct a function  $f$  such that  $|z^t - z^s| \leq \theta(s/t) + (s-t)\varepsilon(s)$  for  $z \in \bar{\Omega}_f$  and  $0 < t < s \leq 1$ .

To perform some elementary computations we will use polar coordinates. Set  $\Delta = \{z \in C \mid |z| \leq 1 \text{ and } 0 \leq \arg z \leq \frac{1}{20}\pi\}$ , and let  $z = x + iy = re^{i\alpha} \in \Delta$ , with  $0 \leq \alpha \leq \frac{1}{20}\pi$ .

We have, for  $0 < t < s \leq 1$ ,

$$\begin{aligned} |z^t - z^s|^2 &= r^{2t}|1 - z^{s-t}|^2 \\ &= r^{2t}((1 - r^{s-t})^2 + 4r^{s-t}\sin^2(\frac{1}{2}(s-t)\alpha)) \\ &\leq (r^t - r^s)^2 + r^{s+t}(s-t)^2\alpha^2. \end{aligned}$$

We obtain

$$|z^t - z^s| \leq r^t - r^s + (s - t)\alpha \leq (s - t)[\log(1/r) + \frac{1}{20}\pi].$$

Since  $\theta(s/t) \geq (s - t)/es \geq (s - t)/e$ , and as  $\frac{1}{20}\pi < \frac{1}{6} < 1/2e$ , we have  $|z^t - z^s| \leq \theta(s/t)$  if  $r \geq e^{-1/6s}$ . In particular,  $|z^t - z^s| \leq \theta(s/t)$  if  $x \geq e^{-1/6s}$ , which is satisfied for  $s \in (0, 1]$  if  $x \geq e^{-1/6}$ .

Also, since  $r^t - r^s \leq \theta(s/t)$ , and as  $\alpha \leq \tan \alpha$ , we obtain

$$|z^t - z^s| \leq \theta\left(\frac{s}{t}\right) + (s - t)\varepsilon(s)$$

if than  $\alpha \leq \varepsilon(s)$ . But  $\varepsilon(s) \geq \varepsilon(-1/6 \log x)$  if  $0 < x \leq e^{-1/6s}$ . So if  $z \in \Delta$ , and if  $\tan \alpha \leq \varepsilon(-1/6 \log x)$ , we have  $|z^t - z^s| \leq \theta(s/t) + (s - t)\varepsilon(s)$ . Finally if we set  $\varepsilon_1(0) = 0$ ,

$$\begin{aligned} \varepsilon_1(x) &= x \min \left\{ \varepsilon\left(\frac{-1}{6 \log x}\right), \tan \frac{\pi}{20} \right\} \quad \text{for } x \in (0, e^{-1/6}), \\ \varepsilon_1(x) &= x \min \left\{ \sqrt{1 - x^2}, \tan\left(\frac{\pi}{20}\right) \right\} \quad \text{for } x \in [e^{-1/6}, 1], \end{aligned}$$

we see that  $|z^t - z^s| \leq \theta(s/t) + (s - t)\varepsilon(s)$  for  $z \in \bar{\Omega}_{\varepsilon_1}$ ,  $0 < t < s \leq 1$ . Since  $\varepsilon_1$  is nondecreasing on  $[0, e^{-1/6})$ , decreasing on  $[e^{-1/6}, 1]$  and strictly positive on  $(0, 1)$  it is then easy to construct a continuous function  $f$  on  $[0, 1]$  such that  $f(0) = f(1) = 0$  which satisfies  $0 < f(x) < \varepsilon_1(x)$  for  $x \in (0, 1)$ . Then  $|z^t - z^s| \leq \theta(s/t) + (s - t)\varepsilon(s)$  for  $z \in \bar{\Omega}_f$ ,  $0 < t < s < 1$ , which concludes the proof of the theorem. □

### 3.3 The general case

A sequence  $(P_n)_{n \geq 1}$  of nonzero idempotents of a commutative Banach algebra  $A$  will be said to be exhaustive if  $P_{n+1}P_n = P_{n+1}$  for  $n \geq 1$  and if for every  $\phi \in \hat{A}$  there exists  $n(\phi) \geq 1$  such that  $\phi(P_n) = 1$  for  $n \geq n(\phi)$ . The following corollary shows in particular that if the closed algebra  $A_T$  generated by a nontrivial strongly continuous semigroup  $(T(t))_{t > 0}$  has no nonzero idempotent, then there exists  $\delta > 0$  such that  $\|T(t) - T(s)\| \geq \theta(s/t)$  for  $0 < t < s \leq \delta$ .

**Corollary 3.3.1.** Let  $(T(t))_{t > 0}$  be a nontrivial strongly continuous semigroup of bounded operators on a Banach space  $X$ . If there exists two sequences  $((s_n)_{n \geq 1})$  and  $(t_n)_{n \geq 1}$ , with  $0 < t_n < s_n$  for  $n \geq 1$ , such that  $\lim_{n \rightarrow +\infty} s_n = 0$ , and such that

$$\|T(t_n) - T(s_n)\| < \theta\left(\frac{s_n}{t_n}\right) \quad \text{for } n \geq 1,$$

then the closed subalgebra  $.A_T$  of  $\mathcal{B}(X)$  generated by the semigroup  $(T(t))_{t > 0}$  is not radical, and  $A_T$  possesses an exhaustive sequence  $(P_n)_{n \geq 1}$  of nonzero idempotents.

*Proof.* It follows from Theorem 3.2.1 that the semigroup  $(T(t))_{t > 0}$  is not quasinilpotent, and it follows from assume that  $[0, \delta] \subset \sigma_T$  for some  $\delta > 0$ . Then  $\varrho(T(t) - T(s)) \geq \theta(s/t)$  for  $0 < t < s \leq -1/\log \delta$ , that there exists a decreasing sequence  $(\delta_n)_{n \geq 1}$  of elements of  $(0, \varrho(T(1))]$  such that  $\lim_{n \rightarrow +\infty} \delta_n = 0$  and such that  $\lambda \neq \delta_n$  for  $\lambda \in \text{Spec}(T(1))$ ,  $n \geq 1$ . Set  $U_n = \{\phi \in \hat{A}_T \mid |\phi(T(1))| \geq \delta_n\} = \{\phi \in \hat{A}_T \mid |\phi(T(1))| > \delta_n\}$ . Then  $U_n$  is a nonempty compact subset of  $A_T$  for  $n \geq 1$ , and it follows from Theorems 3.6.3 and 3.6.6 of [19] that there exists an idempotent  $P_n$  of  $A_T$  such that  $\phi(P_n) = 1$  for  $\phi \in U_n$  and  $\phi(P_n) = 0$  for  $\phi \in \hat{A}_T \setminus U_n$  (it is also possible to define  $P_n$  directly by the formula

$$P_n = \frac{1}{2i\pi} \int_{C(0,1+\varrho(T(1)))} (T(1) - zI)^{-1} dz - \frac{1}{2i\pi} \int_{C(0,\delta_n)} (T(1) - zI)^{-1} dz ,$$

where we denote by  $C(0, r)$  the circle of radius  $r$  centered at the origin, oriented counterclockwise, for  $r > 0$ ). An immediate verification shows then that  $(P_n)_{n \geq 1}$  is an exhaustive sequence of idempotents of  $A_T$ .  $\square$

We get a more precise result for norm-continuous semigroups, which shows in particular that the infinitesimal generator of the semigroup  $(P_n T(t))_{t > 0}$  is then bounded for  $n \geq 1$ .

**Corollary 3.3.2.** Let  $(T(t))_{t > 0}$  be a non-trivial strongly continuous semigroup of bounded operators on a Banach space  $X$ . If there exists two sequences  $(s_n)_{n \geq 1}$  and  $(t_n)_{n \geq 1}$ , with  $0 < (t_n) < (s_n)$  for  $n \geq 1$ , such that  $\lim_{n \rightarrow +\infty} s_n = 0$ , and such that

$$\| T(t_n) - T(s_n) \| < \theta \left( \frac{s_n}{t_n} \right) \text{ for } n \geq 1,$$

then the closed subalgebra  $A_T$  of  $\mathcal{B}(X)$  generated by the semigroup  $(T(t))_{t > 0}$  is not radical, and  $A_T$  possesses an exhaustive sequence  $(P_n)_{n \geq 1}$  of nonzero idempotents satisfying the following conditions:

- (i)  $\cup_{n=1}^{\infty} P_n A_T$  is dense in  $A_T$ ;
- (ii)  $\lim_{t \rightarrow 0^+} \| P_n T(t) - P_n \| = 0$  for every  $n \geq 1$ , so that the infinitesimal generator of the semigroup  $(P_n T(t))_{t > 0}$  is bounded for  $n \geq 1$ .

*Proof.* Denote by  $I$  the closure of the ideal  $\cup_{n=1}^{\infty} P_n A_T$  in  $A_T$ , and let  $\pi : A_T \rightarrow A_T/I$  be the canonical map. We can consider the semigroup  $(\pi(T(t)))_{t > 0}$  as a strongly continuous semigroup acting on  $A_T/I$ . It follows from Theorem 3.2.1 that  $\pi(T(t)) = 0$  for  $t > 0$ , and so  $I = A_T$ .

Now fix  $n \geq 1$ . Then, the notation being the same as in the proof of Corollary 3.3.1,  $P_n$  is the unit element of the Banach algebra  $A_n = P_n A_T$ , and  $\psi(P_n T(1)) \geq \delta_n$  for  $\psi \in \hat{A}_n$ , since the map  $\phi \rightarrow \phi|_{A_n}$  is a surjection from  $U_n$  onto the character space of  $A_n$ . Hence  $P_n T(1)$  has an inverse  $S$  in  $A_n$ , and

$$\limsup_{h \rightarrow 0^+} \| P_n - P_n T(h) \| \leq \| S \| \limsup_{h \rightarrow 0^+} \| T(1+h) - T(1) \| = 0.$$

$\square$

**Proposition 3.3.1.** Let  $(T(t))_{t > 0}$  be a strongly continuous semigroup of bounded operators on a Banach space  $X$ , and assume that the closed subalgebra  $A_T$  of  $\mathcal{B}(X)$  generated by the semigroup possesses a unit element  $P$ . Then either

$$\lim_{t \rightarrow 0^+} \| P - T(t) \| = 0.$$

so that the infinitesimal generator of the semigroup  $(T(t))_{t > 0}$  is bounded, or

$$\lim_{t \rightarrow 0^+} \limsup_{h \rightarrow 0^+} \varrho(T(t+h) - T(t)) = 2.$$

**Theorem 3.3.1.** Let  $(T(t))_{t > 0}$  be a non-trivial strongly continuous semigroup of bounded operators on a Banach space  $X$ . If there exist  $\delta > 0$  and a continuous function  $s : [0, \delta] \rightarrow (0, +\infty)$  such that  $s(0) = 0$ , and such that  $0 < t < s(t)$  and

$$\| T(t) - T(s(t)) \| < \theta \left( \frac{s(t)}{t} \right) \text{ for } 0 < t \leq \delta ,$$

then the infinitesimal generator of the semigroup  $T(t)_{t>0}$  is bounded , so that

$$\| T(t) - T(s) \| = |s - t| (\| u \| + M(s, t) |s - t|),$$

holds.

*Proof.* An elementary computation shows that  $\theta(s/t) < (s - t)/s < 1$  for  $0 < t < s$ . It follows from Theorem 3.2.1 that the semigroup is not quasinilpotent, and it follows from Proposition 3.1[11] that there exists  $\delta > 0$  such that  $[0, \delta) \cap \sigma_T = \{0\}$ . Hence  $\hat{A}_T$  is compact and we see as in the proof of Corollary 3.3.1 that there exists an idempotent  $P$  of  $A_T$  such that  $\phi(P) = 1$  for every  $\phi \in \hat{A}_T$ . The semigroup  $(S(t))_{t>0} = (PT(t))_{t>0}$  is strongly continuous and  $P$  is the unit element of the closed subalgebra  $A_S$  generated by this semigroup. Set  $S(0) = P$ , and denote by  $S(-t)$  the inverse of  $S(t)$  in  $A_S$  for  $t > 0$ . If  $\limsup_{t \rightarrow 0^+} \| P - S(t) \| > 0$ , it would follow from Proposition 3.3.1 that  $\limsup_{t \rightarrow 0^+} \varrho(P - S(t)) = 2$ . Now let  $(r_n)_{n \geq 1}$  be a decreasing sequence of positive real numbers such that  $\lim_{n \rightarrow +\infty} r_n = 0$  and such that  $\lim_{n \rightarrow +\infty} \varrho(P - PT(r_n)) = 2$ . Since the map  $t \rightarrow s(t)$  is continuous on  $[0, \delta]$ , there would exist  $n_0 \geq 1$  and a sequence  $(t_n)_{n \geq n_0}$  of elements of  $[0, \delta)$  such that  $\lim_{n \rightarrow +\infty} t_n = 0$  and such that  $s(t_n) - t_n = r_n$  for  $n \geq n_0$ . We would have

$$\begin{aligned} \lim_{n \rightarrow +\infty} \varrho(T(s(t_n)) - T(t_n)) &\geq \lim_{n \rightarrow +\infty} \varrho(PT(t_n + r_n) - PT(t_n)) \\ &\geq \left( \lim_{n \rightarrow +\infty} \varrho(S(-t_n)) \right)^{-1} \lim_{n \rightarrow +\infty} \varrho(PT(r_n) - P) = 2. \end{aligned}$$

But this is impossible since

$$\varrho(T(s(t_n)) - T(t_n)) \leq \| T(s(t_n)) - T(t_n) \| < \theta\left(\frac{st_n}{t_n}\right) < 1$$

for  $n \geq 1$ . Hence  $\lim_{t \rightarrow 0^+} \| P - PT(t) \| = 0$ .

The subspace  $Y = PX$  is closed. If  $R \in \mathcal{B}(X)$  satisfies  $RP = PR$ , set  $\pi(R)y = Rx + Y$  for  $y = x + Y \in X/Y$ , so that  $\pi(R) \in \mathcal{B}(X/Y)$  and  $\| \pi(R) \| \leq \| R \|$ . Then

$$\pi(T(t)) = \pi(T(t) - PT(t))$$

is quasinilpotent, and it follows from Theorem 3.2.1 that  $\pi(T(t)) = 0$  for  $t > 0$ . Hence  $T(t)(X) \subset Y$ , so that  $T(t)x = PT(t)x$  for  $x \in X$ , and

$$\lim_{t \rightarrow 0^+} \| P - T(t) \| = \lim_{t \rightarrow 0^+} \| P - PT(t) \| = 0.$$

□



## Conclusion

We conclude on the problem of the semi-groups consists in introducing an infinitesimal generator which determines the structure of the semi-group and in characterizing the operators which can serve as infinitesimal generators. This has been done by Hille-Yosida for semi-groups  $(T_t)$ .

The norm of our semi-groups in general will be unbounded near  $T = 0$ . then we define the infinitesimal generator in a way which seems most suited for our purposes.

The resolvent  $R(\lambda I; A)$  will be an unbounded operator and its domain will not coincide with the basic space. In fact, the right half of the complex  $\lambda$ -plane will be seen to belong to the continuous spectrum of rather than to the resolvent. Despite this radical difference the nature of the basic theorems remains essentially the same. The reason lies in the fact that the domain of  $R(\lambda I; A)$  can be remetrized so as to make it a Banach space. With this new norm  $R(\lambda I; A)$  becomes a bounded operator.

• ملخص:

الهدف الرئيسي من هذا العمل هو تقديم عامل و شبه مجموعة محدود القدرة بالإضافة الى وصف طريقة لانشاء العديد من التعميمات لنتيجة Esterle وكذلك اعطاء العديد من الشروط على المشغل الذي يعني ان معياره يساوي نصف قطره الطيفي. ثم اضبط

$$\theta(s/t) = (s/t - 1)(t/s)^{\frac{s/t}{s/t-1}} = (s-t) \frac{t^{t/(s-t)}}{s^{s/(s-t)}}$$

اذا  $0 < t < s$ . تظهر النتيجة الرئيسية انه اذا  $(T(t))_{t>0}$  عبارة عن مجموعات شبه قوية متواصلة وغير بديهية من المشغلين المقيدين في مساحة بناخ ثم توجد  $\delta > 0$  مثل ذلك  $\|T(t) - T(s)\| > \theta(s/t)$  من اجل  $0 < t < s \leq \delta$ .

• الكلمات المفتاحية:

نصف المجموعة-عامل مقيد - شبه معدوم.

• Résumé :

L'objectif principal de ce travail est de présenter l'opérateur borné de puissance et le semigroup ainsi que de décrire un moyen de créer de nombreuses généralisations du résultat d'Esterle, et également de donner de nombreuses conditions sur un opérateur qui impliquent que sa norme est égale à son rayon spectral. Réglez ensuite

$$\theta(s/t) = (s/t - 1)(t/s)^{\frac{s/t}{s/t-1}} = (s-t) \frac{t^{t/(s-t)}}{s^{s/(s-t)}}$$

si  $0 < t < s$ . Le résultat clé montre que semigroupe quasinilpotent fortement continu non trivial d'opérateurs bornés sur un espace de Banach alors il existe  $\delta > 0$  tel que  $\|T(t) - T(s)\| > \theta(s/t)$  pour  $0 < t < s \leq \delta$ .

• Mots clés :

semigroupe, l'opérateur borné, quasinilpotent

• Abstract:

The main objective of this work is to present power bounded operator and semigroup as well as describe a way to create many generalizations of Esterle's result, and also give many conditions on an operator which imply that its norm is equal to its spectral radius. Then set

$$\theta(s/t) = (s/t - 1)(t/s)^{\frac{s/t}{s/t-1}} = (s-t) \frac{t^{t/(s-t)}}{s^{s/(s-t)}}$$

if  $0 < t < s$ . The key result shows that if  $(T(t))_{t>0}$  is a nontrivial strongly continuous quasinilpotent semigroup of bounded operators on a Banach space then there exists  $\delta > 0$  such that  $\|T(t) - T(s)\| > \theta(s/t)$  for  $0 < t < s \leq \delta$ .

• Keywords:

semigroup, bounded operator, quasinilpotent

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